



Appointment of a panel of audit firms for co-sourcing of internal audit projects for the period of 36 months

**TENDER DATA**

1.	The Employer is: Thembisile Hani Local Municipality Private Bag X 4041 Empumalanga 0458			
2.	Tender Documents			
	<p><b>Tendering Procedures</b> Tender notice and invitation to tender Tender data</p> <p><b>Returnable Documents</b> List of Returnable Documents</p> <p><b>The Contract</b> <b>Agreements and Contract data</b> Forms of Offer and Acceptance Contract Data</p> <p><b>Pricing Data</b> Pricing Instruction Bill of Quantities</p> <p><b>Terms of Reference</b> Terms of Reference</p> <p><b>Additional Relevant Documents</b> Supply Chain Management Policy</p>			
3.	<p><b>Interpretation</b></p> <p>The tender data and additional requirements contained in the tender schedules that are included in the returnable documents are deemed to be part of these tender conditions.</p>			
4	<p><b>Communication.</b></p> <p>The Employer's Representative is;</p> <table border="1" data-bbox="279 1503 1390 1715"> <tr> <td data-bbox="279 1503 662 1715"> <p><b><u>Accounting Officer;</u></b> ON Nkosi Private Bag X 4041 Empumalanga 0458 Tel: 013 986 9100</p> </td> <td data-bbox="662 1503 1010 1715"> <p><b><u>Procurement Eng.</u></b> Supply Chain Unit Private Bag X 4041 Empumalanga 0458 Tel: 013 986 9187</p> </td> <td data-bbox="1010 1503 1390 1715"> <p><b><u>Technical Enquiries.</u></b> AS Malope Private Bag X 4041 Empumalanga 0458 Tel : 013 986 9167</p> </td> </tr> </table> <p>4.1 Attention is drawn to the fact that verbal communication given by the Employer's representative prior to the close of tenders will not be regarded as binding on the employer. Only information issued formally by the employer in writing to the tenders, under the signature of the Accounting Officer will be regarded as amending the tender documents.</p>	<p><b><u>Accounting Officer;</u></b> ON Nkosi Private Bag X 4041 Empumalanga 0458 Tel: 013 986 9100</p>	<p><b><u>Procurement Eng.</u></b> Supply Chain Unit Private Bag X 4041 Empumalanga 0458 Tel: 013 986 9187</p>	<p><b><u>Technical Enquiries.</u></b> AS Malope Private Bag X 4041 Empumalanga 0458 Tel : 013 986 9167</p>
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4.2	<p><b>Eligibility Criteria and Requirements</b></p> <p>Only those tenderers who satisfy the following eligibility criteria are eligible to submit tenders:</p>			



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1. Tenderer is registered on the Central Supplier Database
2. Tenderer is qualified and professionally registered for the service to be provided
3. Tenderer has the managerial capacity, reliability and experience regarding the nature of the project

Only those tenderers who score a minimum score of **60 points** in respect of the following functionality criteria will proceed to the price and preference goals.

<b>Summary of Functionality</b>	
Organising and Staffing	65
Experience of Firm on similar or comparable projects	20
<b>Total</b>	<b>85</b>

5	<p><b>The Employer's right to accept or reject any tender offer</b></p> <p>The employer has the right not to accept the lowest tender and to accept the whole or part of any tender or not to consider any tender not suitably endorsed is fully reserved by the Thembisile Hani Local Municipality.</p>
6	<p><b>Tenderer Obligations</b></p>
6.1	The Council retains the right to call for any additional information that it may deem necessary
6.2	<p>If tendering as a Joint Venture, Joint venture must be constituted by means of a comprehensive and fair, written agreement between the members, which sets out their obligations, rights, risks and rewards.</p> <p>Joint venture members should share at least the following aspects of the joint venture activities in a meaningful and equitable manner:</p> <ol style="list-style-type: none"> <li>1. Control</li> <li>2. Management</li> <li>3. Operations</li> <li>4. Risk</li> <li>5. Profit and Loss</li> </ol>
6.3	If a Tenderer , or any person employed by him is found to have either directly or indirectly, promised or given to any person in the employment of Council, any commission, gratuity, gift or other consideration, The Council shall have the right to summarily and without recourse to law and without prejudice to any other legal remedy which it may have in regard to any loss and/ or additional costs or expenses, to disqualify the Tender or cancel the Contract without paying any compensation to the aforesaid Tender or Contract.
6.4	At the request of the Municipal Manager or his authorised representative from furnishing him with additional information, or with a sample or specimen for testing purposes or otherwise, or from giving a demonstration so as to enable the recommendation to the Council's responsible Committee on the award of the contract be formulated,



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7.	<p><b>Proof of warrantee</b></p> <p>None</p>
8	<p><b>Compensation of tendering</b></p> <p>The employer will not compensate the tenderer for any costs incurred in the preparation and submission of a tender offer, including the cost of any testing necessary to demonstrate that aspects of the offer satisfy requirements.</p>
9	<p><b>Check documents</b></p> <p>The Tenderer should check the tender documents on receipt for completeness and notify the employer of any discrepancy or omission.</p>
10.1	<p><b>Confidentiality and Copyright of Documents.</b></p> <p>Treat as confidential all matters arising in connection with the tender. Use and copy the documents issued by the employer only for the purpose of preparing and submitting a tender offer in response to the invitation</p>
10.2	<p><b>Clarification Meeting</b></p> <p><b>Prospective bidders should take note that because of the current situation of COVID-19, the Municipality must adhere to the Regulations of Disaster Management Act in avoiding gatherings. Therefore, there will be no briefing session and public tender opening.</b></p>
11	<p><b>Submitting tender offer:</b></p> <p>11.1 No Tender document will be considered unless submitted on Council's Official Tender Document</p> <p>11.2 Return all the returnable documents to the employer after completing them.</p> <p>11.3 The employer's address for delivery of tender offers and identification details to be shown on such tender offer package are:</p> <p><b>Location of tender box:</b> Thembisile Hani Local Municipality  <b>Physical address :</b> Stand no 24, Corner Police Station Kwaggafotein C ,  Empumalanga</p> <p>Telephonic, telegraphic, telex, facsimile or emailed tenders will not be considered</p> <p>11.4 All tender received by the Thembisile Hani Local Municipality will remain in the Municipality's possession until after the stipulated closing date and time.</p>
11.5	<p>Accept that a tender submitted to the employer cannot be withdrawn or substituted. No substitute tenders will be considered</p>



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12.	<b>Closing Time:</b>
12.1	<p>The time and location for opening of the Tender offers are:</p> <p>Closing Time: <b>12:00</b>          Closing Date: <b>15 May 2021</b>          Location: <b>Thembisile Hani Local Municipality          Stand No. 24          Corner Police Station          Kwaggafontein C          Empumalanga          0458</b></p> <p><b>Prospective bidders should take note that because of the current situation of COVID-19, the Municipality must adhere to the Regulations of Disaster Management Act in avoiding gatherings. Therefore, there will be no briefing session and public tender opening.</b></p>
12.2	<p>After the opening of the tender proposals, no information relating to the clarification, determination of responsiveness, evaluation and comparison of tender proposals and recommendations concerning the award of the tender shall be disclosed to any other tenderer or persons not concerned with such process until the award of the Tender has been announced by the THLM.</p>
13.	<p><b>Pricing the tender</b></p> <p>State the rates and prices in Rand</p> <p><b>NB: Should exchange rates and price fluctuations, bidders affect prices should take forward cover and this be incorporated in the price tendered.</b></p>
14.	<p><b>Alterations to the Tender Documents.</b></p> <p><b>No</b> alterations may be made to the tender document issued by the employer.</p> <p>Proposals and any other supporting documents must be attached to the back of this tender document</p>
15	<p><b>Alternative tender offer.</b></p> <p><b>No</b> alternative tender offers will be considered or accepted</p> <p>Alternative offers may be submitted only if a main tender offer, strictly in accordance with all the requirements of the tender document is also submitted. The alternative tender offer is to be submitted with the main tender offer together with a schedule that compares the requirements of the tender document with the alternative requirements the tenderer proposes.</p>
16	<p><b>Tender Offer Validity</b></p> <p>The Tender offer validity period is 120 days from the closing date.</p>
17	<p><b>Tender clarification after submission</b></p> <p>No tender clarification</p>
18	<p><b>Tender evaluation points</b></p>
18.1	<p>The value of this bid is estimated not to exceed R50 000 000 (all applicable taxes included) and therefore the 80/20 system shall be applicable.</p>
18.2	<p>Preference points for this bid shall be awarded for:</p>



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18.3	<p style="margin-left: 40px;">(a) Price; and (b) B-BBEE Status Level of Contribution.</p> <p>The maximum points for this bid are allocated as follows:</p> <table border="1" style="margin-left: 40px; width: 60%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;"></th> <th style="text-align: center;">POINTS</th> </tr> </thead> <tbody> <tr> <td><b>PRICE</b></td> <td style="text-align: center;"><b>80</b></td> </tr> <tr> <td><b>B-BBEE STATUS LEVEL OF CONTRIBUTION</b></td> <td style="text-align: center;"><b>20</b></td> </tr> <tr> <td><b>Total points for Price and B-BBEE must not exceed</b></td> <td style="text-align: center;"><b>100</b></td> </tr> </tbody> </table>		POINTS	<b>PRICE</b>	<b>80</b>	<b>B-BBEE STATUS LEVEL OF CONTRIBUTION</b>	<b>20</b>	<b>Total points for Price and B-BBEE must not exceed</b>	<b>100</b>
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<b>Total points for Price and B-BBEE must not exceed</b>	<b>100</b>								
19.	<p><b>Evaluation of Tenders</b></p> <p>The Tenderers notice is drawn to the fact that the evaluation, adjudication and awarding of this tender will be in terms of the Supply Chain Management Policy of the THLM.</p>								
19.1	<p><b>The following steps will be followed in evaluation;</b></p> <ol style="list-style-type: none"> <li>1. Determination of whether or not tender offers are complete.</li> <li>2. Determination of whether or not tender offers are responsive.</li> <li>3. Determination of the reasonableness of tender offers.</li> <li>4. Confirmation of the eligibility of preferential points claimed by tenderers.</li> <li>5. Awarding of points for financial offer.</li> <li>6. Ranking of tenderers according to the total points</li> <li>7. Performance of risk analysis by checking the credit record of the tenderers</li> </ol>								
19.2	<p><b>Evaluation Criteria</b></p> <p>The procedure for the evaluation of responsive Bids will be on the average of the previous three projects where the firm was involved</p> <p>The tenders must obtain a minimum of 60 points out of the 85 points to be considered for price and BBB-EE evaluation.</p> <table border="1" style="margin-left: 40px; width: 80%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: center;"><b>Summary of Functionality</b></th> </tr> </thead> <tbody> <tr> <td style="width: 70%;">Organising and Staffing</td> <td style="text-align: center;">65</td> </tr> <tr> <td>Experience of Firm on similar or comparable projects</td> <td style="text-align: center;">20</td> </tr> <tr> <td><b>Total</b></td> <td style="text-align: center;"><b>85</b></td> </tr> </tbody> </table>	<b>Summary of Functionality</b>		Organising and Staffing	65	Experience of Firm on similar or comparable projects	20	<b>Total</b>	<b>85</b>
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	<p>Tenders are adjudicated in terms of THLM Supply Chain Management Policy, and the following framework is provided as a guideline in this regard.</p>								
19.3.1	<p><b>Technical adjudication and General Criteria</b></p> <ul style="list-style-type: none"> <li>▪ Tenders will be adjudicated in terms of inter alia:</li> <li>▪ Compliance with Tender conditions</li> <li>▪ Technical specifications</li> </ul>								



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If the Tenderer does not comply with the Tender Conditions, the Tenderer may be rejected. If technical specifications are not met, the Tender may also be rejected.

With regard to the above, certain actions or errors are unacceptable and warrants

### **REJECTION OF THE TENDER**, for example

- Pages to be completed, removed from the Tender document, and have therefore not been submitted.
- If tender document is not fully completed as required and as stipulated in the tender data.
- If any tender document is tempered with or it is unbundled or unbundled.
- Failure to complete the schedule of quantities as required – only lump sums provided.
- Scratching out without initialling next to the amended rates or information.
- Writing over / painting out rates / the use of tippex or any erasable ink, e.g. pencil.
- Failure to attend compulsory site inspections
- The Tender has not been properly signed by a party having the authority to do so, according to the **Form D – “Authority for Signatory”**
- A Resolution by a Board of Directors of the Company authorizing the Tenderer to sign the Tender document on behalf of the Company. No authority for signatory submitted.
- Particulars required in respect of the Tender have not been provided – non-compliance of Tender requirements and/or specifications.
- The Tenderer’s attempts to influence, or has in fact influenced the evaluation and/or awarding of the contract.
- The Tender has been submitted after the relevant closing date and time
- Failure to complete and sign Form C1.1 Form of Offer and Acceptance
- If any municipal rates and taxes or municipal service charges owed by that Tenderer or any of its directors to the municipality, or to any other municipality or municipal entity, are in arrears for more than three months.
- If any Tenderer who during the last five years has failed to perform satisfactorily on a previous contract with the municipality or any other organ of state after written notice was given to that Tenderer that performance was unsatisfactory.
- Tax Pin Certificate / Tax Compliance Status documents with Pin.
- Each company within the Joint Venture / Consortium must submit Tax Pin Certificate or a copy of Tax Compliance Status document with Pin
- Certified copies of directors ID.
- Copy of company registration documents.
- Copy of latest municipal account which is not more than 3 months old at the time of closing.
- The bidding entity as well as all its directors must submit Municipal account which is not more than three (3) months in arrears or valid lease agreement which is in the name of the business and or the directors, which must stipulate the responsibility of payment of municipal services.
- Central Supplier Database (CSD) registration summary report
- Completed and Signed Schedule of Quantities



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19.3.2	<p><b>Size of enterprise and current workload</b></p> <ul style="list-style-type: none"><li>▪ Evaluation of the Tenderer's position in terms of:</li><li>▪ Previous and expected current annual turnover</li><li>▪ Current contractual obligations</li><li>▪ Capacity to execute the contract</li></ul>
19.3.3	<p><b>Staffing profile</b></p> <p>Evaluation of the Tenderer's position in terms of:</p> <ul style="list-style-type: none"><li>▪ Staff available for this contract being Tendered for</li><li>▪ Qualifications and experience of key staff to be utilised on this contract.</li></ul>
19.3.4	<p><b>Proposed Key Personnel</b></p> <p>In this part of the tender, the Tenderer shall also supply Curriculum Vitae (CV's) for the Staff available named and working on full time basis for the Tenderer. The CV should follow the normal Professional Format as used by Professional Service Providers.</p> <p>Each CV should give at least the following:</p> <ul style="list-style-type: none"><li>○ Position in the firm and within the organisation of this assignment</li><li>○ PDI status (describing population group, gender and disabilities)</li><li>○ Educational qualifications</li><li>○ Professional Registrations</li><li>○ Relevant experience (actual duties performed, involvement and responsibility), including locations, dates and durations of assignments, starting with the latest.</li><li>○ Language proficiency and</li><li>○ References (company name, individual name, position held, contact details)</li></ul> <p>Much importance will be placed on the experience of the staff proposed. The Tender must ensure that, if selected, the nominated staff will be assigned as proposed. Failure to do so may result in the annulment of any acceptance of the Tenders' proposal and/ or Agreement entered into by the Client for the execution of the services</p>
19.3.5	<p><b>Previous experience</b></p> <p>The procedure for the evaluation of responsive Bids will be on the average of the <b>previous three projects</b> where the firm was involved</p> <p>The tenderer shall list in the appropriate Forms the appropriate related projects undertaken by the member firms of the tenderer within the last five (5) years.</p> <p>Evaluation of the Tenderer's position in terms of his previous experience. Emphasis will be placed on the following:</p> <ul style="list-style-type: none"><li>▪ Experience in the relevant technical field</li><li>▪ Experience of contracts of similar size</li><li>▪ Some or all of the references will be contacted to obtain their input.</li></ul>
19.3.6	<p>The tenderer shall provide documentation of company experience of each member of the</p>



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<p>19.3.7</p> <p>19.3.8</p> <p>19.3.9</p> <p>19.3.10</p>	<p>Consortium/Joint Venture related projects.</p> <p><b>Financial ability to execute the contract:</b></p> <p>Evaluation of the Tenderer's financial ability to execute the contract. Emphasis will be placed on the following:</p> <ul style="list-style-type: none"> <li>▪ Professional Indemnity</li> </ul> <p><b>Good standing with SA Revenue Services</b></p> <ul style="list-style-type: none"> <li>▪ Determine whether an original valid Tax Pin certificate has been submitted.</li> <li>▪ The Tenderer <b>must</b> a copy of a Valid Tax Pin Certificates or a <b>Copy of a letter from SARS indicating Tenderer's SARS reference or login number and pin.</b></li> </ul> <p>If the Tender does <b>not</b> meet the requirements contained in the THLM Procurement Policy, and the mentioned framework, it will be rejected by the Council, and may not subsequently be made acceptable by correction or withdrawal of the non-conforming deviation or reservation</p> <p><b>Penalties</b></p> <p>The Thembisile Hani Local Municipality will if upon investigation it is found that a preference in terms of the Act and these regulations has been obtained on a fraudulent basis, or any specified goals are not attained in the performance of the contract, on discretion of the Municipal Manager, one or more of the following penalties will be imposed:</p> <ul style="list-style-type: none"> <li>▪ Cancel the contract and recover all losses or damages incurred or sustained from the Tenderer.</li> <li>▪ Impose a financial penalty at the discretion of Council</li> <li>▪ Restrict the contractor, its shareholders and directors on obtaining any business from the Thembisile Hani Local Municipality for a period of 5 years</li> </ul>
<p>20</p>	<p><b>The additional conditions of Tender are:</b></p> <p>1 Thembisile Hani Local Municipality may also request that the Tenderer provide written evidence that his financial, labour and resources are adequate for carrying out the project.</p> <p>2 The Thembisile Hani Local Municipality reserves the right to appoint a firm of chartered accountants and auditors and / or execute any other financial investigations on the financial resources of any Tenderer. The Tenderer shall provide all reasonable assistance in such investigations.</p>



## FORM EVALUATION SCHEDULE: TENDER COMPLIANCE

### Tender Compliance by the Contracting Firm

It must be noted that a total of **45 points** must be obtained by the Contracting Firm in relation to the requirements as mentioned on the table below failure which a tender shall be automatically eliminated from any further evaluation.

Evaluation Criteria	Evaluation Criteria	Elimination Factor	Points obtainable	Points Claimed
Delegation of Authority (Note 01)	Delegation of Authority for Signatory signed by Senior Directors and/or Shareholders nominating representative on the project	Yes	5	
Completing tender document (Note 02)	Must initial every page in the tender document, signing and fill the tender document in full	Yes	5	
Company registration (Note 03)	Proof of Company Registration with Company Intellectual Property Registration Office (CIPRO) and proof of shareholding	Yes	5	
Tax Pin Verification (Note 04)	Proof of Tax Registration and Compliance with South African Revenue Service (SARS)	Yes	5	
Letter of Good Standing (Note 05)	A Proof of valid good standing letter with Compensation Commissioner must be attached.	Yes	5	
Municipal Account (Note 06)	A statement of the municipal account which does not owe municipal services for more than 90 days must be attached.	Yes	5	
Bank Confirmation Letter (Note 07)	A proof in the form bank stamped letter from the bank not older than three (3) months confirming the bank account and details.	Yes	5	



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CSD Report (Note 08)	A copy of CSD report of the company which is not older than 1 (one) month must be attached.	Yes	5	
Company Professional registration (Note 09)	Proof of registration with South African Institute of Chartered Accountants (SAICA) or Independent Regulatory Board of Auditors (IRBA)	Yes	5	
<b>Minimum 45 points</b>				

**Note 01: Delegation of Authority**

In case of a Company, a delegation of Authority signed by the Consulting Firm's Board of Directors nominating a Team Leader as a delegated and authorized Signatory must be attached. In case of a Closed Corporation, a delegation of Authority signed by the Contracting Firm's majority Shareholders nominating a Team Leader as a delegated and authorized Signatory must be attached. Failure to attach the certificate shall warrant an automatic elimination of tender from any further evaluation.

**Note 02: Completing tender document**

As stipulated in the tender rules or in addition thereto, a tender document shall be completed in full with all the provided spaces signed, every page initialled accordingly and required documentation attached. Failure, which shall warrant an automatic elimination of tender from any further evaluation.

**Note 03: Company registration**

A proof of company registration in the form of a copy from Company Intellectual Property Registration Office (CIPRO) shall be attached including a copy of a certificate for proof of shareholding. Failure to attach the certificate shall warrant an automatic elimination of tender from any further evaluation.

**Note 04: Tax Verification Pin certificate**

A proof of tax verification pin certification and compliance with relevant tax legislation in the form of an original Tax Pin certificate shall be attached. An attached Tax Pin certificate must be valid at the time of closing of tenders. Failure to attach the certificate shall warrant an automatic elimination of tender from any further evaluation.



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**Note 05: COIDA Certificate**

A letter of good standing with Compensation Commissioner issued by the Department of labour must be attached and must be valid at the time of closing of tenders. Failure to attach the document shall warrant an automatic elimination of tender from any further evaluation.

**Note 06: Municipal Account**

Attach an original or a copy of a municipal utility account (not older than three (3) months) of any of the registered Director(s) or Company. Attach a copy lease agreement along with the utility account of the Landlord, whereby the company is leasing the property its operating from Failure to attach the certificate shall warrant an automatic elimination of tender from any further evaluation.

**Note 07: Bank Confirmation Letter**

Attach a proof in the form bank stamped letter from the bank not older than three (3) months confirming the bank account and details. Failure to attach the certificate shall warrant an automatic elimination of tender from any further evaluation.

**Note 8: CSD Report**

Attach a copy of CSD report of the company which is not older than one (1) month must be attached. Failure to attach the certificate shall warrant an automatic elimination of tender from any further evaluation.

**Note 09: Company's Professional Registration**

A Copy of registration with professional body must be attached and must be valid at the time of closing of tenders. Failure to attach the document shall warrant an automatic elimination of tender from any further evaluation.

*The undersigned, who warrants that he / she is duly authorised to do so on behalf of the enterprise, confirms that the contents of this schedule are within my personal knowledge and are to the best of my belief both true and correct.*

Person Authorized to sign Tender:

FULL NAME:

.....

SIGNATURE: ..... DATE: .....



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**FORM EVALUATION SCHEDULE: PERSONNEL AND PREVIOUS RELATED WORK EXPERIENCE**

The Firm's tender responsiveness in relation to points is therefore summarized as follows:

<b>Summary of Functionality</b>	
Organising and Staffing	65
Experience of Firm on similar or comparable projects	20
<b>Total</b>	<b>85</b>

**A firm must obtain a minimum of 60 points out of the 85 points above to be considered for price and BBB-EE evaluation.**

**Project Director/ Team Leader: (Maximum points obtainable 25 )**

**Name of Project Director /Leader :.....**

<b>Evaluation Criteria</b>	<b>Minimum Required</b>	<b>Point obtainable</b>	<b>Point Claimed</b>
Academic qualifications (Note 1)	Honours Bachelor of Accounting Science or Post Graduate Diploma in the field of Internal Auditing, Auditing or Computer Auditing	8	
	Bachelor's Degree or Advanced Diploma in the Field of Internal Auditing, Auditing or Computer Auditing	4	
	Three years National Diploma in the field of Internal Auditing ,Auditing or Computer Auditing	2	
<b>Sub-total</b>		<b>8</b>	



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Professional Registration (Note 2)	Registration with SAICA as Chartered Accountant , registered with ISACA as CISA , registered with IIA as a Certified Internal Auditor	10	
<b>Sub-total</b>		<b>10</b>	
Involvement in similar projects confirmed on the CV (Note 3)	<b>Number of years</b>	<b>Point obtainable</b>	<b>Point Claimed</b>
	1 year – 4 years	3	
	5 year – 8 years	4	
	9 year – above	7	
<b>Sub total</b>		<b>7</b>	
<b>Total</b>		<b>25</b>	

**Internal Audit Manager (Maximum Point obtainable 16)**

**Name of Internal Audit Manager:.....**

<b>Evaluation Criteria</b>	<b>Minimum Required</b>	<b>Point obtainable</b>	<b>Point Claimed</b>
Academic qualifications (Note 4)	Post Graduate Diploma in Internal Auditing or Accounting	6	
	Bachelor’s Degree or B-Tech Degree or Advanced Diploma in the field of Internal Auditing, Auditing or Computer Auditing	4	
<b>Sub-total</b>		<b>6</b>	
Professional Certification (Note 5)	Registration with SAICA as Chartered Accountant , registered with ISACA as CISA , registered with IIA as a Certified Internal Auditor	4	
<b>Sub-total</b>		<b>4</b>	
<b>Involvement in</b>	<b>Number of years</b>	<b>Elimination</b>	<b>Point</b>
			<b>Point Claimed</b>



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similar projects confirmed on the CV (Note 6)	<b>Factor</b>	<b>obtainable</b>	
	1 year – 4 year	3	
	5 year – above	6	
<b>Sub total</b>		6	
<b>Total</b>		<b>16</b>	

**IT Auditor (Maximum Point obtainable 12)**

**Name of IT Auditor 1:** .....

<b>Evaluation Criteria</b>	<b>Minimum Required</b>	<b>Point obtainable</b>	<b>Point Claimed</b>
Academic qualifications (Note 7)	B Com Accounting /B-Tech Degree/ Advanced Diploma in the field of Accounting, Internal Auditing or Computer Auditing	3	
	Three years National Diploma in the field of Accounting, Internal Auditing or Computer Auditing	2	
<b>Sub-total</b>		<b>3</b>	
Professional Certification (Note 8)	Certified Information system auditor (CISA)	<b>3</b>	
<b>Sub-total</b>		<b>3</b>	
Involvement in similar projects confirmed on the CV ( Note 9)	<b>Number of years</b>	<b>Point obtainable</b>	<b>Point Claimed</b>
	2 year – 4 year	3	
	5 year – above	6	
<b>Sub total</b>		<b>6</b>	
<b>Total</b>		<b>12</b>	



Appointment of a panel of audit firms for co-sourcing of  
internal audit projects for the period of 36 months

**Internal Auditors (Maximum Point obtainable 12)**

**Name of Internal Auditors 2 : .....**

<b>Evaluation Criteria</b>	<b>Minimum Required</b>	<b>Point obtainable</b>	<b>Point Claimed</b>
Academic qualifications (Note 10)	B-Tech Degree/ Advanced Diploma in the field of Accounting, Internal Auditing, (NQF level 7)	3	
	Three years National Diploma in the field of Internal Auditing or Accounting	2	
<b>Sub-total</b>		<b>3</b>	
Professional Registration (Note 11)	Member of Internal Auditors SA	3	
<b>Sub-total</b>		<b>3</b>	
Involvement in similar projects confirmed on the CV	<b>Number of years</b>	<b>Point obtainable</b>	<b>Point Claimed</b>
	2 year – 4 year	3	
	5 year – above	6	
<b>Sub total</b>		<b>6</b>	
<b>Total</b>		<b>12</b>	

**Note:**

**Team Leader/Project Director:**

**Note 1: Academic Qualifications**

Proof of academic qualifications in the form of certified copies not older than six (6) months must be attached to the Team Leader/Project Director's CV. Foreign qualifications must be accompanied by a certificate from Qualifications Certification Body. The proposed Project Director/Team Leader shall be in possession of at least a Honours Degree or Post Graduate Diploma or Diploma in the field of Internal Auditing, Auditing or Computer Auditing.



## Appointment of a panel of audit firms for co-sourcing of internal audit projects for the period of 36 months

### **Note 2: Professional Certification**

Proof of certification of the Project Leader/ Director registration with SAICA as Chartered Accountant (CA) and Certified Internal Auditor certification with (IIA).

### **Note 3: Experience in government environment**

Confirmation of the involvement of the company should be accompanied by testimonials or letters from government clients

### **Internal Audit Manager:**

#### **Note 4**

Proof of academic qualifications in the form of certified copies not older than six (6) months must be attached to the Internal Audit Manager's CV. Foreign qualifications must be accompanied by a certificate from Qualifications Certification Body. The proposed Internal Audit Manager shall be in possession of at least a Post Graduate Diploma or Bachelor's Degree or B Tech or Advance Diploma in the field of Internal Auditing, Auditing or Computer Auditing.

#### **Note 5: Professional Certification**

Proof of certification of the Internal Audit Manager as a Certified Internal Auditor with the Institute of Internal Auditor (IIA).

#### **Note 6: Experience in government environment**

Confirmation of the involvement of the company should be accompanied by testimonials or letters from government clients

### **IT Auditor:**

#### **Note 7**

Proof of academic qualifications in the form of certified copies not older than six (6) months must be attached to the IT Auditor's CV . Foreign qualifications must be accompanied by a certificate from Qualifications Certification Body. The proposed IT Auditor shall be in possession of at least a B Com Accounting /B Tech Degree /Advance Diploma or Diploma in the field of Internal Auditing, Auditing or Computer Auditing.

#### **Note 8: Professional Certification**

Proof of certification of the IT Auditor as Information system auditor (CISA)

#### **Note 9: Experience in government environment**

Confirmation of the involvement of the company should be accompanied by testimonials or letters from government clients



Appointment of a panel of audit firms for co-sourcing of  
internal audit projects for the period of 36 months

**Internal Auditor:**

**Note 9**

Proof of academic qualifications in the form of certified copies not older than six (6) months must be attached to the Internal Auditor's CV. Foreign qualifications must be accompanied by a certificate from Qualifications Certification Body. The proposed Internal Auditor shall be in possession of at least a B Tech Degree /Advance Diploma or Diploma in the field of Internal Auditing, Auditing or Computer Auditing.

**Note 11: Professional Registration**

Proof of registration of the Internal Auditor with the Institute of Internal Auditors (IIA)

**Note 12: Experience in government environment**

Confirmation of the involvement of the company should be accompanied by testimonials or letters from government clients

**EXPERIENCE OF FIRM (Maximum Points obtainable 20)**

Evaluation Criteria	Minimum Required	Points Obtainable	Points Claimed
Experience of the firm in executing audits within local government (Note 13)	1 years to 3 Years	5	
	4 years to 6 years	10	
	7 years and above	20	
Sub-total		20	
Maximum Points obtainable		20	

**Notes 13: Experience of the firm in auditing**

The Tenderer must attach copies of Appointment Letters / purchase orders as evidence projects successfully completed. Points claimed without these requested evidence documents will not be considered. At least Three (4) projects must have been successfully completed to obtain minimum points. Projects below the prescribed minimum will equal zero points.

**TOTAL SCORE: \_\_\_\_\_/85**